

| <b>COMMISSION POLICY</b>   |  |
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| Category: <b>Strategic Directive</b><br><br>Date of Adoption:<br><br>Revision: | Title: <b>Compliance and Enforcement</b><br><br>Policy Number: <b>SD-?</b><br><br>Resolution No.<br><br>Resolution No. |

The CPUC will monitor regulated entities' compliance with applicable law -- including CPUC rules, regulations, and orders – as a primary means to assure that consumers, utility employees, contractors, and other workers, as well as the general public benefit from reliable and affordable services provided in a safe and efficient manner. The ~~Commission's~~ CPUC's enforcement activities are an important method of assuring compliance.

The ~~Commission~~ CPUC will:

1. Enforce applicable laws, rules and regulations in a fair and timely manner.
2. Promote compliance, deter violations of laws and regulations, and discourage any act that could jeopardize public health and safety.
3. Promote personal and organizational accountability within ~~public utilities~~, regulated entities, and within the CPUC.
4. Coordinate with and utilize the expertise and resources of federal, other state, tribal and local governmental entities.
5. Continually improve CPUC oversight efforts through regular periodic reviews of compliance and enforcement efforts, and review of the safety performance of regulated entities, including effective ~~utility staff~~ education and training of their staff.

Metrics: **TBD**

Monitoring Method: **Executive Director Report**

Frequency: **Annual**

SD Direct Links: