

**PUBLIC UTILITIES COMMISSION**

505 VAN NESS AVENUE  
SAN FRANCISCO, CA 94102-3298



Transmitted via e-mail

June 29, 2023

Albert Lewis Richhart, Owner  
Lakeview Water Company  
P. O. Box 1563  
Havasu Lake, CA 92363

**Notice of Non-Compliance – Lakeview Water Company Has Not Filed Its Annual Report for the Year Ended December 31, 2021**

Dear Albert Lewis Richhart:

The purpose of this letter is to inform you that Lakeview Water Company's (LWC) must file its 2021 Annual Report to comply with California Public Utilities (PU) Code Sections 581, 582, 584, 587 and the directives of the California Public Utilities Commission (CPUC).

PU Code Sections 581, 582, 584, 587 and CPUC's General Order 104-A grant authority to the CPUC to require all public utilities doing business in California to file reports as specified by the CPUC. On January 20, 2022, CPUC's Water Division (WD) issued a memorandum requiring all water and sewer utilities to file their Annual Reports by April 30, 2022. Pursuant to PU Code Section 314.5, the CPUC has statutory responsibility to review or audit the books and records of your company, including but not limited to LWC's regulatory basis financial statements contained in the Annual Reports required to be filed with the CPUC.

On June 13, 2023, the Utility Audits Branch (UAB) issued a letter notifying you that LWC has been selected for review. UAB's letter indicated that "...If LWC does not file its 2021 Annual Report by June 27, 2023, UAB will issue a Notice of Non-Compliance to LWC and post it to the CPUC's public website..." As of the date of this letter, our records indicate that LWC has not filed its 2021 Annual Report, nor obtained CPUC's permission to file an extension. LWC's failure to file its 2021 Annual Report constitutes a limitation on our review scope. Therefore, UAB will publish this non-compliance letter on our public website at [Audit Reports by Industry \(ca.gov\)](https://www.cpuc.ca.gov/AuditReportsbyIndustry). This letter also serves to meet the intention of the audit requirements specified in PU Code Section 314.5.

The CPUC reserves the right for further regulatory actions if LWC continues to be non-compliant. Pursuant to Resolution W-4799, CPUC has the authority to take prompt actions, including issuing citations and imposing fines, against any water or sewer utility that fails to correct the non-compliance or violation in a timely manner. A complete copy of the Resolution can be accessed at [https://docs.cpuc.ca.gov/PublishedDocs/WORD\\_PDF/FINAL\\_RESOLUTION/109215.PDF](https://docs.cpuc.ca.gov/PublishedDocs/WORD_PDF/FINAL_RESOLUTION/109215.PDF).

If LWC has filed its 2021 Annual Report with the CPUC prior to the date of this letter, please provide us the proof of LWC's filing; and we will be pleased to correct our records.

Albert Lewis Richhart, Owner  
Lakeview Water Company  
June 29, 2023  
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Please contact us at [UtilityAudits@cpuc.ca.gov](mailto:UtilityAudits@cpuc.ca.gov) if you have any questions.

Sincerely,

*Angie Williams*

Angie Williams, Director  
Utility Audits, Risk and Compliance Division

cc: Rachel Peterson, Executive Director, CPUC  
Kristin Stauffacher, Deputy Executive Director, Office of the Commission, CPUC  
Terence Shia, Director, Water Division, CPUC  
Masha Vorobyova, Assistant Director, UAB, CPUC  
Bruce DeBerry, Program Manager, Water Division, CPUC  
Raymond Yin, Program and Project Supervisor, UAB, CPUC  
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