

PUBLIC UTILITIES COMMISSION

505 VAN NESS AVENUE
SAN FRANCISCO, CA 94102-3298



October 12, 2022

Bill Roberts, Partner
Alpine Village Water Company
52784 Redwood Drive
Springville, CA 95608

Notice of Noncompliance – Alpine Village Water Company Has Not Filed Its Annual Report for the Year Ended December 31, 2021

Dear Mr. Roberts:

The purpose of this letter is to inform you that Alpine Village Water Company (AVWC) must file its 2021 Annual Report in order to comply with California Public Utilities (PU) Code Sections 581, 582, 584, 587 and the directives of the California Public Utilities Commission (CPUC).

PU Code Sections 581, 582, 584, 587 and CPUC's General Order (G.O.) 104-A grant authority to the CPUC to require all public utilities doing business in California to file reports as specified by the CPUC. On January 26, 2021, CPUC's Water Division (WD) issued a memorandum requiring all water and sewer utilities to file their Annual Reports by April 30, 2021. Pursuant to PU Code Section 314.5, the CPUC has statutory responsibility to review or audit the books and records of your company, including but not limited to AVWC's regulatory basis financial statements contained in the 2021 Annual Report required to be filed with the CPUC.

On September 22, 2022, the Utility Audits Branch (UAB) issued a letter notifying you that AVWC has been selected for review. UAB's letter indicated that "...If AVWC does not file its 2021 Annual Report by October 10, 2022, UAB will issue a noncompliance letter to AVWC and post the report on the CPUC's public website...." In response to this letter, AVWC indicated that it would file its Annual Report by October 12, 2022. However, as of the date of this letter, our records indicate that AVWC has not filed its 2021 Annual Report, nor obtained CPUC's permission for an extension beyond October 12, 2022. AVWC's failure to file its 2021 Annual Report constitutes a limitation on our review scope. Therefore, UAB will publish this noncompliance letter on our public website at [Audit Reports by Industry \(ca.gov\)](#). This letter also serves to meet the intention of the audit requirements specified in PU Code Section 314.5.

The CPUC reserves the right for further regulatory actions if AVWC continues to be noncompliant. Pursuant to Resolution W-4799, CPUC has the authority to take prompt actions, including issuing citations and imposing fines, against any water or sewer utility that fails to correct the noncompliance or violation in a timely manner. A complete copy of the Resolution can be accessed at https://docs.cpuc.ca.gov/PublishedDocs/WORD_PDF/FINAL_RESOLUTION/109215.PDF.

If AVWC has filed its 2021 Annual Report with the CPUC prior to the date of this letter, please provide us the proof of AVWC's filing; and we will be pleased to correct our records.

Mr. Bill Roberts, Partner
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Please contact us at UtilityAudits@cpuc.ca.gov if you have any questions.

Sincerely,

Angie Williams

Angie Williams, Director
Utility Audits, Risk and Compliance Division

cc: Rachel Patterson, Executive Director, CPUC
Kristin Stauffacher, Deputy Executive Director, Office of the Commission, CPUC
Terence Shia, Director, Water Division, CPUC
Masha Vorobyova, Assistant Director, UAB, CPUC
Bruce DeBerry, Program Manager, Water Division, CPUC
Raymond Yin, Program and Project Supervisor, UAB, CPUC
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