



**APPLICATION FOR A NEW OR AMENDED  
CALIFORNIA STATE VIDEO FRANCHISE  
CALIFORNIA PUBLIC UTILITIES COMMISSION**

**Definitions for the purposes of this Application:**

- A. "Access" means that the holder is capable of providing video service at the household address using any technology, other than direct-to-home satellite service, providing two-way broadband Internet capability and video programming, content, and functionality, regardless of whether any customer has ordered service or whether the owner or landlord or other responsible person has granted access to the household. If more than one technology is utilized, the technologies shall provide similar two-way broad band Internet accessibility and similar video programming.
- B. "Affiliate" means any company 5 per cent or more of whose outstanding securities are owned, controlled, or held with power to vote, directly or indirectly either by a state video franchise holder or any of its subsidiaries, or by that state video franchise holder's controlling corporation and/or any of its subsidiaries as well as any company in which the state video franchise holder, its controlling corporation, or any of the state video franchise holder's affiliates exert substantial control over the operation of the company and/or indirectly have substantial financial interests in the company exercised through means other than ownership.
- C. "Applicant" means any person or entity that files an application seeking to provide Video Service in the state pursuant to a State Video Franchise.
- D. "Application" means the form prescribed by the Commission through which an Applicant may apply for a State Video Franchise or amend its Video Service Area.
- E. "Application Fee" means any fee that the Commission imposes to recover its actual and reasonable costs of processing an Application.<sup>1</sup>
- F. "Area" means a set of contiguous (i) collections of census block groups or (ii) regions that are mapped using geographic information system technology.
- G. "Broadband" or "Broadband Service" means any service defined as Broadband, or having advanced telecommunications capability, in the most recent Federal Communications Commission inquiry pursuant to Section 706 of the Telecommunications Act of 1996 (P.L. 104-104).<sup>2</sup>
- H. "Census Block Group" has the same meaning as used by the U.S. Census Bureau.
- I. "Census Tract" has the same meaning as used by the U.S. Census Bureau.<sup>3</sup>
- J. "Census Tract Basis" means pursuant to the reporting standards articulated in Appendix D and Appendix E, Section II of D.07-03-014.
- K. "Commission" means the Public Utilities Commission.
- L. "Company" means the Applicant and its Affiliates.

<sup>1</sup> CAL. PUB. UTIL. CODE § 5840(c). This fee is not levied for general revenue purposes, consistent with Public Utilities Code § 5840(c).

<sup>2</sup> *Id.* at § 5830(a). The Federal Communications Commission currently uses the term "broadband" and "advanced telecommunications capability" to describe services and facilities with an upstream (customer-to-provider) and downstream (provider-to-customer) transmission speed of more than 200 kilobits per second. FEDERAL COMMUNICATIONS COMMISSION, AVAILABILITY OF ADVANCED TELECOMMUNICATIONS CAPABILITY IN THE UNITED STATES, FOURTH REPORT TO CONGRESS, FCC 04-208, 10 (Sept. 9, 2004). This definition, however, is under review by the Commission, and it may evolve in response to rapid technological changes in the marketplace. *Id.*

<sup>3</sup> CAL. PUB. UTIL. CODE at § 5960(a).

- M. “Consultant” means the third party source of census household projections including low income household projections.
- N. “DIVCA” means Assembly Bill 2987, the Digital Infrastructure and Video Competition Act of 2006 (Ch. 700, Stats. 2006).
- O. “Household” means, consistent with the U.S. Census Bureau, a house, apartment, a mobile home, a group of rooms, or a single room that is intended for occupancy as separate living quarters.<sup>4</sup> Separate living quarters are those in which the occupants live and eat separately from any other persons in building and which have direct access from the outside of the building or through a common hall.<sup>5</sup>
- P. “Local Entity” means any city, county, city and county, or joint powers authority within the state within whose jurisdiction a State Video Franchise Holder may provide Video Service.<sup>6</sup>
- Q. “Low-Income Household” means a residential Household where the average annual Household income is less than \$35,000, as based on U.S. Census Bureau estimates adjusted annually to reflect rates of change and distribution through January 1, 2007.<sup>7</sup>
- R. “State Video Franchise” means a franchise issued by the Commission pursuant to DIVCA.<sup>8</sup>
- S. “State Video Franchise Holder” means a person or group of persons that has been issued a State Video Franchise from the Commission pursuant to Division 2.5 of DIVCA.<sup>9</sup>
- T. “Telephone Service Area” means the area where the Commission has granted an entity a Certificate of Public Convenience and Necessity to provide telephone service.
- U. “Telephone Corporation” means a telephone corporation as defined in Public Utilities Code § 234.
- V. “Video Service” means video programming services, cable service, or open-video system service provided through facilities located at least in part in public rights-of-way without regard to delivery technology, including Internet protocol or other technology. This definition does not include (1) any video programming provided by a commercial mobile service provider defined in Section 322(d) of Title 47 of the United States Code, or (2) video programming provided as part of, and via, a service that enables users to access content, information, electronic mail, or other services offered over the public Internet.<sup>10</sup>
- W. “Video Service Area” means the area proposed to be served under a State Video Franchise.
- X. “Video Service Provider” means any entity providing Video Service.<sup>11</sup>

---

<sup>4</sup> Id. at § 5890(j)(1).

<sup>5</sup> Id.

<sup>6</sup> Id. at § 5830(k).

<sup>7</sup> Id. at § 5890(j)(2) (defining “low-income households” for the purposes of imposing build-out requirements).

<sup>8</sup> Id. at § 5830(p).

<sup>9</sup> Id. at § 5830(i).

<sup>10</sup> Id. at § 5830(s).

<sup>11</sup> Id. at § 5830(t).

PLEASE TYPE ALL INFORMATION UNLESS INSTRUCTED OTHERWISE.

**Type of Application**

1. Check as appropriate:
- New Franchise       Amended Franchise (Please indicate type of amendment below)
- Increasing Video Service Area
- Decreasing Video Service Area

**Applicant Information**

2. Applicant's State Video Franchise number (if seeking an amended Franchise): 0034
3. Applicant's full legal name:  
Cequel III Communications I, LLC
4. Name under which the Applicant does or will do business in California:  
Suddenlink Communications
5. Legal name and contact information of Applicant's parent companies, including the ultimate parent:
- Parent's Full Legal Name: Cequel Communications, LLC
- Address: 520 Maryville Centre Drive. Ste. 300  
St. Louis, Missouri 63141
- Phone: 314-315-9400
- Parent's Full Legal Name: \_\_\_\_\_
- Address: \_\_\_\_\_
- Phone: \_\_\_\_\_
- Parent's Full Legal Name: \_\_\_\_\_
- Address: \_\_\_\_\_
- Phone: \_\_\_\_\_
6. Applicant's principal place of business:  
Address: 520 Maryville Centre Drive, Ste. 300  
St. Louis, Missouri 63141
- Phone: 314-315-9400

7. Contact information for the person responsible for ongoing communication with the Commission about Video Service business:

Name: Michael Zarrilli

Title: Vice President Government Relations & Senior Counsel

Address: 520 Maryville Centre Drive, Ste. 300  
St. Louis, Missouri 63141

Phone(s): Business/ 314-315-9400

Mobile/ \_\_\_\_\_

Fax: 314-315-9322

Email: Michael.Zarrilli@suddenlink.com

8. Attach as Appendix A the names and titles of the Applicant's principal officers.

#### **Build-Out Information**

*Answer questions 9 through 12 only if the Applicant or one of its Affiliates is a Telephone Corporation. Other Applicants should go to Question 13.*

9. Does the Applicant alone or together with its Affiliates have more than 1,000,000 telephone customers in California?

Yes

No

10. Does the Video Service Area include areas outside of the Telephone Service Area of the Applicant and its Affiliates?

Yes

No

11. Is the Applicant primarily deploying fiber optic facilities to the customer's premise?

Yes

No

12. Excluding direct-to-home satellite, is Video Service currently offered by another Video Service Provider in the Video Service Area proposed in this Application?

Yes

No

#### **Existing Local Cable or Video Franchise Holder Information**

13. Does the Applicant alone or together with its Affiliates currently hold a local franchise, or has the Applicant held a local franchise in the Video Service Area in the last six months?

Yes

No

*If "Yes," then download and complete the electronic template available on the Communications Division's section of the CPUC's web site at [www.cpuc.ca.gov](http://www.cpuc.ca.gov)*

**Video Service Area Information**

14. a. Utilizing the template (as applicable) provided on the Communications Division's section of the CPUC's web site at [www.cpuc.ca.gov](http://www.cpuc.ca.gov) provide a geographic description of the Video Service Area and input the expected date for the deployment of each Area in the Video Service Area. Please select the method by which the geographic description shall be detailed:

- A collection of U.S. Census Bureau Census Block Groups, or
  - o *If Applicant chooses "a," then download and complete the electronic template available on the Communications Division's section of the CPUC's web site at [www.cpuc.ca.gov](http://www.cpuc.ca.gov)*
- A geographic information system digital boundary meeting or exceeding national map accuracy standards.
  - o *If Applicant chooses "b," then submit the geographic information system digital boundary as a polygon shapefile (.shp), in State Plane coordinate system in digital format electronically to the Commission*

b. If a consultant was used to compile the geographic description data, please provide the following:

Consultant Company's Full Legal Name: Frontier Geo Tek, Inc.  
Address: 6377 S. Revere PKWY, Ste. 300  
Centennial, CO 80111  
Phone: 303-350-4104, ext. 16

15. Socioeconomic status information of residents within the Video Service Area

o *If applicable, the Applicant shall provide this information utilizing the templates available on the Communications Division's section of the CPUC's web site at [www.cpuc.ca.gov](http://www.cpuc.ca.gov)*

a. Provide the following baseline description of residents in the Video Service Area:

- i. *Number of Households:* The number of Households in each Census Tract included in the Video Service Area. Utilize the most recent U.S. Census projections of households available as of January 1 of the year the Application is submitted to determine the number of Households.
- ii. *Number of Low-Income Households:* The number of Low-Income Households in each Census Tract included in the Video Service Area. Utilize the most recent U.S. Census projections of low-income households available as of January 1, 2007 to determine the number of Low-Income Households.

b. Provide or attest in the attached Affidavit that Applicant shall provide, no later than 90 calendar days after the date of the Commission's issuance of a State Video Franchise to the Applicant, the following description of residents in the Video Service Area on a Census Tract Basis:

- i. *Wireline Broadband:*
  - 1. The number of Households in each Census Tract to which the Company makes wireline Broadband available.
  - 2. The number of Households in each Census Tract that subscribe to wireline Broadband that the Company makes available.

- ii. *Non-Wireline Broadband:*
  1. If the Company uses non-wireline technology to provide Broadband, specify the type(s) of technology used in each Census Tract.
  2. The number of customers in each Census Tract that subscribe to non-wireline Broadband that the Company makes available.
  3. Using geographic information system digital boundaries that meet or exceed national map accuracy standards, provide maps that delineate (i) Census Tract boundaries and (ii) where the Company typically makes non-wireline Broadband available.
- iii. *Video service:* The number of Households in each Census Tract that are offered Access by the Company.
- iv. *Low-Income* (Utilize the most recent U.S. Census projections of low-income households available as of January 1, 2007 to determine the number of Low-Income Households): The number of Low-Income Households that are offered Access by the Company.

16. Socioeconomic status information of residents within the Telephone Service Area

- o *If applicable, the Applicant shall provide this information utilizing the templates available on the Communications Division's section of the CPUC's web site at [www.cpuc.ca.gov](http://www.cpuc.ca.gov).*
- a. If the Applicant or any of its Affiliates is a Telephone Corporation, provide the following baseline description of residents in the Telephone Service Area:
  - i. *Number of Households:* The number of Households in each Census Tract included in the Telephone Service Area. Utilize the most recent U.S. Census projections of households available as of January 1 of the year the Application is submitted to determine the number of Households.
  - ii. *Number of Low-Income Households:* The number of Low-Income Households in each Census Tract included in the Telephone Service Area. Utilize the most recent U.S. Census projections of low-income households available as of January 1, 2007 to determine the number of Low-Income Households.
- b. If the Applicant or any of its Affiliates is a Telephone Corporation, provide or attest in the attached Affidavit that Applicant shall provide, no later than 90 calendar days after the date of the Commission's issuance of a State Video Franchise to the Applicant, the following description of residents in the Telephone Service Area:
  - i. *Wireline Broadband:*
    1. The number of Households in each Census Tract to which the Company makes wireline Broadband available.
    2. The number of Households in each Census Tract that subscribe to wireline Broadband that the Company makes available.
  - ii. *Non-Wireline Broadband:*
    1. If the Company uses non-wireline technology to provide Broadband, specify the type(s) of technology used in each Census Tract.
    2. The number of customers in each Census Tract that subscribe to non-wireline Broadband that the Company makes available.
    3. Using geographic information system digital boundaries that meet or exceed national map accuracy standards, provide maps that delineate (i) Census Tract boundaries and (ii) where the Company typically makes non-wireline Broadband available

- iii. *Video service*: The number of Households in each Census Tract that are offered Access by the Company.
- iv. *Low-Income* (Utilize the most recent U.S. Census projections of low-income households available as of January 1, 2007 to determine the number of Low-Income Households): The number of Low-Income Households that are offered Access by the Company.

17. If a consultant was used to compile the geographic description data, please provide the following:

Consultant Company's Full Legal Name: Frontier Geo Tek, Inc.  
 Address: 6377 S. Revere PKWY, Ste. 300  
Centennial, CO 80111  
 Phone: 303-350-4104, ext. 16

**Financial, Legal, and Technical Qualifications**

18. Provide or attest in the attached Affidavit that Applicant shall provide a copy of a fully executed bond in the amount of \$100,000 per 20,000 households in the Video Service Area, with a \$100,000 minimum and a \$500,000 maximum per State Video Franchise Holder, to the Executive Director prior to initiating video service and no later than 5 business days after the date of the Commission's issuance of a State Video Franchise to the Applicant. The bond must list the Commission as obligee and be issued by a corporate surety authorized to transact a surety business in California.

**Local Entity Contact Information**

19. Utilizing the template provided on the Video Franchise main page of the CPUC website, the Applicant shall provide the contact name and information for a representative from each Local Entity within the Video Service Area.

**Application Fee**

20. Attach to this Application a check in the amount of \$2,000 made payable to the "California Public Utilities Commission."

**Affidavit**

21. Complete and submit the affidavit attached as Appendix B to this Application.

**A COMPLETE APPLICATION MUST INCLUDE:**

- Completed Application form**
- CD(s) containing completed templates available on the Commission website**
- Appendix A: Applicant's Principal Officers**
- Appendix B: Affidavit**
- Check in the amount of \$2,000**

## OFFICERS

Jerald L. Kent – Chief Executive Officer and President  
Peter M. Abel – Senior Vice President, Corporate Communications  
David J. Agnew - Vice President, Information Technology  
Phil Ahlschlager – Senior Vice President of Operations, North Carolina Region  
Timothy H. Archer – Vice President, Commercial and Advertising Operations Services Delivery  
Christopher W. Arft – Vice President, IT Infrastructure  
David Bach – Senior Vice President of Operations, Atlantic Region  
Gordon M. Bourne – Vice President, Programming  
Aaron Boyll – Vice President, Corporate Development  
Jeffrey F. Bryant – Vice President, Marketing  
Kenneth Conner – Vice President, Business Services  
Bradley Cooke – Vice President, Information Technology, Central Region  
Terry M. Cordova – Senior Vice President and Chief Technology Officer  
Jill Costick – Vice President, Marketing  
Todd Cruthird – Senior Vice President of Operations, Texoma Region  
Michelle Cutler – Vice President Customer Care, Texoma Region  
Aimee C. Doane – Vice President, Operations  
Jerrold A. Dow – Senior Vice President and Chief Marketing & Sales Officer  
Daniel K. Fitzgibbon – Vice President and Senior Counsel  
Robert Fouss – Vice President, Ad Sales Operations  
James B. Fox – Senior Vice President and Chief Accounting Officer  
Justin Freesmeier – Vice President, Fiscal Operations  
George R. Fryer – Vice President, Fiscal Operations for Texoma Region  
John Fuhler – Senior Vice President, Fiscal Operations  
Beverly Gambell – Vice President, Commercial Marketing  
David Gilles – Senior Vice President of Operations, West Texas Region  
Randy Goad – Senior Vice President of Operations, Mid-South Region  
Gregg Graff – Senior Vice President of Sales  
Gregg Grigaitis – Vice President, Advanced Technology  
Erick Guzman – Vice President, Information Technology  
Norman E. Harris – Vice President, Commercial Sales East  
Charles O. Hembree – Vice President of Operations, Central Region  
Pamela Hermann – Vice President of Management and Financial Reporting  
Marsha Humphreys – Vice President, Information Technology  
Reginald A. Jaramillo – Vice President of Fiscal Operations, Central Region  
Robert Jenkins – Vice President, Information Technology  
Mario A. Jimenez, Jr. – Vice President, Customer Care  
Don Johnson – Vice President, Human Resources  
Stevens Gibbs Jones – Senior Vice President, Customer Experience  
Katharine Gardner Kelly – Vice President, Customer Care  
Ralph G. Kelly – Senior Vice President, Treasurer  
Wendy Knudsen – Executive Vice President and Assistant Secretary  
Ivan Lamoureux – Vice President, Network Engineering  
Rodney Lanham – Vice President, Engineering  
Michelle Luecal – Vice President of Talent/Organizational Development & Compliance  
Brian May – Vice President, Information Technology



Patricia L. McCaskill – Senior Vice President and Chief Programming Officer  
Christopher McLennan – Vice President, Programming  
Thomas P. McMillin – Executive Vice President and Chief Operating Officer  
Mary E. Meduski – Executive Vice President and Chief Financial Officer  
John E. Menendez – Vice President, Loyalty and Sales Marketing  
Peggy Migas – Vice President, Contract Administration  
Mark M. Mihalevich – Vice President, Marketing  
James N. Mosher – Vice President of Technical Operations, Texoma Region  
Tyler Nau – Vice President of Finance, Commercial and Advertising Operations  
Michael R. Nelson – Vice President, Fiscal Operations  
Patrick J. O’Connor – Senior Vice President of Operations, Central Region  
Bill Paramore – Vice President, Technical Operations  
Andrew D. Parrott – Vice President, Technical Operations  
Beth Ann Petti - Vice President, Information Technology  
Michael A. Pflantz – Vice President, Corporate Finance  
Mary L. Porter – Vice President, Human Resources Staffing & Diversity  
Robert L. Putnam – Senior Vice President and Chief Information Officer  
Frederick S. Ricker – Vice President, Corporate Taxes  
Craig L. Rosenthal – Senior Vice President and General Counsel and Secretary  
Karen A. Sharkey – Vice President, Customer Care  
Brent Skinner – Vice President, Media Services  
Daniel R. Spoelman - Vice President, Operations  
Kevin Stephens – President of Commercial and Advertising Operations  
Jason Thomas – Vice President, Customer Care  
Timothy Thompson – Vice President, Telephony  
Stephen E. Tulloh, Jr. - Vice President, Commercial Sales-West  
Sabrina Warr – Vice President, Corporate Accounting  
Thomas A. Wheeler – Vice President, Direct Sales  
Douglas G. Wiley – Senior Vice President, Human Resources  
Michael J. Zarrilli – Vice President, Government Relations and Assistant Secretary

**AFFIDAVIT**

STATE OF Missouri

COUNTY OF St. Louis

My name is John Fuhler. I am SVP Fiscal Operations (Title) of Cequel III Communications I, LLC (Company). My personal knowledge of the facts stated herein has been derived from my employment with Cequel III Communications I, LLC (Company).

I swear or affirm that I have personal knowledge of the facts stated in this Application for a California State Video Franchise to provide Video Service, I am competent to testify to them, and I have the authority to make this Application on behalf of and to bind the Company.

I further swear or affirm that Cequel III Communications I, LLC [Name of Applicant] shall fulfill the following requirements:

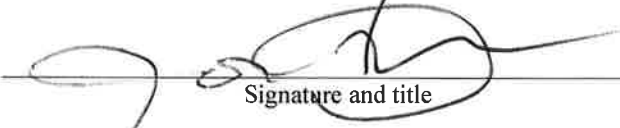
1. Applicant has filed or will timely file with the Federal Communications Commission all forms required by the Federal Communications Commission before offering Video Service in this state.
2. Applicant agrees to comply with all lawful city, county, or city and county regulations regarding the time, place, and manner of using the public rights-of-way, including but not limited to, payment of applicable encroachment, permit, and inspection fees.
3. Applicant will concurrently deliver a copy of this Application to any Local Entity in the Video Service Area.
4. Applicant possesses the financial, legal, and technical qualifications necessary to construct and operate the proposed system and promptly repair any damage to the public rights-of-way caused by Applicant.
5. Applicant is not in violation of any final nonappealable order relating to either the Cable Television and Video Providers Customer Service and Information Act (California Public Utilities Code Article 3.5 (commencing with § 53054) of Chapter 1 of Part 1 of Division 2 of Title 5 of the Government Code) or the Video Customer Service Act (California Public Utilities Code Article 4.5 (commencing with § 53088) of Chapter 1 or Part 1 of Division 2 of Title 5 of the Government Code).
6. If it has not done so in the Application, Applicant shall provide the Commission, no later than 90 calendar days after the date of the Commission's issuance of a State Video Franchise to the Applicant, a complete description of residents' socioeconomic status information, as required by and detailed in Questions 14 and 15 of the Application.
7. If it has not done so in the Application, Applicant shall provide a copy of a fully executed bond in the amount of \$100,000 to the Executive Director prior to initiating video service and no later than 5 business days after the date of Commission issuance of a State Video Franchise to the Applicant. The bond shall list the Commission as obligee and be issued by a corporate surety authorized to transact a surety business in California.

I further swear or affirm that Cequel III Communications I, LLC [Name of Company] agrees to comply with all federal and state statutes, rules, and regulations, including, but not limited to, the following:

1. As provided in Public Utilities Code § 5890, Applicant will not discriminate in the provision of Video Service.
2. Applicant will abide by all applicable consumer protection laws and rules as provided in Public Utilities Code § 5900.
3. Applicant will remit the fee required by California Public Utilities Code § 5860(a) to the Local Entity.
4. Applicant will provide public, educational, and governmental access channels and the required funding as required by Public Utilities Code § 5870.

5. Applicant and any and all of its Affiliates' operations in California now and in the future shall be included for the purposes of applying Public Utilities Code §§ 5840, 5890, 5960, and 5940. Applicant specifically attests to the following:
  - a. Reporting Requirements: Either (i) Applicant or (ii) the parent company of Applicant shall produce Commission-mandated reports for and on behalf of Applicant and any and all of its Affiliates that operate in California. Only one report required pursuant to Public Utilities Code § 5960 shall be filed annually, such report to include all pertinent data for the Company.
  - b. Antidiscrimination:
    - i. If Applicant and its Affiliates together have more than 1,000,000 telephone customers in California, Applicant shall satisfy the build-out requirements set forth in Public Utilities Code § 5890(b) & (e).
    - ii. If Applicant and its Affiliates together have less than 1,000,000 telephone customers in California, Applicant shall satisfy any build-out requirements established pursuant in Public Utilities Code § 5890(c).
  - c. Cross-subsidization: If Applicant or its Affiliates provide stand-alone, residential, primary-line basic telephone service, Applicant shall refrain from using any increase of the rate of this service to finance the cost of deploying a network to provide video service.
  - d. "Affiliate," as referenced herein, means any company 5 per cent or more of whose outstanding securities are owned, controlled, or held with power to vote, directly or indirectly either by a state video franchise holder or any of its subsidiaries, or by that state video franchise holder's controlling corporation and/or any of its subsidiaries as well as any company in which the state video franchise holder, its controlling corporation, or any of the state video franchise holder's affiliates exert substantial control over the operation of the company and/or indirectly have substantial financial interests in the company exercised through means other than ownership.
6. Applicant shall fulfill all other requirements imposed by the Digital Infrastructure and Video Competition Act.
7. Cequel III Communications I, LLC [Name of Applicant] is a single identifiable entity that is qualified to do business in California and has verifiable assets. This entity shall accept service of process, either directly or through an agent, and submit to the jurisdiction of California courts.

I swear or affirm that all of the statements and representations made in this Application are true and correct.

  
 \_\_\_\_\_  
 Signature and title  
 John Fuhler, Senior Vice President Fiscal Operations  
 \_\_\_\_\_  
 Typed or printed name and title

**AFFIDAVIT**

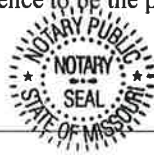
State of California

County of St. Louis

Subscribed and sworn to (or affirmed) before me on this 11<sup>th</sup> day of November  
2013, by, John Fuhler, SVP Fiscal Operations personally known to me or

proved to me on the basis of satisfactory evidence to be the person(s) who appeared before me.

Seal \_\_\_\_\_



**LISA DOLAN**  
My Commission Expires  
June 4, 2014  
St. Louis County  
Commission #10395910

Signature \_\_\_\_\_

Lisa Dolan